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*J Neurol Neurosurg Psychiatry* published online June 14, 2010  
doi: 10.1136/jnp.2009.192906

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# Effect modifiers of outcome of surgery in patients with herniated disc related sciatica? A subgroup analysis of a randomised clinical trial

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Received 26 August 2009  
Revised 7 January 2010  
Accepted 9 February 2010

## ABSTRACT

**Background** Tubular discectomy compared with conventional microdiscectomy has been introduced to speed up the rate of recovery in patients with lumbar disc related sciatica, although similar results have been shown. The authors performed a subgroup analysis to investigate whether certain patients might benefit more from either two surgical treatments.

**Methods** A double-blinded randomised trial was performed to compare the rate of recovery and outcome at 1 year between tubular discectomy and conventional microdiscectomy. Complete and nearly complete recovery, documented on the patient's global perceived recovery, were defined as a good outcome. The effect modification of the allocated treatment strategy by predefined variables on the rate of recovery and outcome at 1 year was analysed by Cox proportional hazard analyses and logistic regression analyses, respectively.

**Results** With respect to the outcome rate of recovery, interaction with treatment effect was present for the variable gender and type of disc herniation. Patients with a contained disc herniation (HR 0.73; 95% CI 0.49 to 1.09) and women (HR 0.75; 95% CI 0.54 to 1.06) had slower rates of recovery when treated with tubular discectomy. Variables correlated with good outcome at 1 year were the level of education and Slump test. Higher educated patients (OR 0.18; 95% CI 0.06 to 0.59) and patients with a negative Slump (OR 0.24; 95% CI 0.06 to 0.92) fared worse at 1 year when they underwent tubular discectomy.

**Conclusions** Superiority of tubular discectomy compared with conventional microdiscectomy was not demonstrated. Subgroup analyses identified only a few variables that were associated with more or less benefit from one of the allocated treatments.

**Trial registration** [isrct.org](http://isrct.org) Identifier: ISRCTN51857546.

## INTRODUCTION

Lumbar disc surgery is the most frequently performed spinal procedure worldwide. Over the years, the traditional laminectomy with transdural disc removal described by Mixter and Barr<sup>1</sup> has been refined into less invasive surgical procedures. In 1997, Foley introduced the minimally invasive technique of tubular discectomy, replacing the subperiosteal muscle dissection by a transmuscular approach.<sup>2</sup> The rationale of all minimally invasive techniques is reducing muscle injury, more rapid resumption of daily activities and a faster rate of recovery.

Recently, a double-blinded randomised trial on tubular discectomy versus conventional microdiscectomy in patients with herniated disc related sciatica showed similar rates of recovery and func-

tional outcome during the first year after surgery, although patients treated with tubular discectomy experienced a worse outcome at 1 year.<sup>3</sup> The expected influence of minimally invasive procedures on patients' rates of recovery was not proven. However, treatment effects may be different between subgroups of patients, and it would be interesting to identify certain subgroups that might benefit more from either tubular discectomy or conventional microdiscectomy with respect to the rate of recovery or with a good outcome at 1 year. We therefore performed a subgroup analyses of the data from the aforementioned randomised trial to evaluate anamnestic, neurological and radiological variables, which might facilitate the decision-making between tubular discectomy and conventional microdiscectomy in the treatment of patients with herniated disc related sciatica.

## METHODS

### Study design and participants

A multicentre double-blinded randomised controlled trial among patients with sciatica due to lumbar disc herniation was designed, in which tubular discectomy and conventional microdiscectomy were compared in a parallel group design. Details of the study design have been published previously.<sup>4</sup> Briefly, the included patients (aged between 18 and 70 years) presented with leg pain lasting more than 6–8 weeks, based on uncontained or large contained disc herniations with distinct nerve root compression. Patients with smaller contained disc herniations with doubtful nerve root compression, cauda equina syndrome, previous spine surgery on the same disc level, spondylolisthesis, central spinal canal stenosis, pregnancy, severe somatic or psychiatric diseases, inadequate knowledge of Dutch language or planned emigration within the year after inclusion were excluded. Surgery was planned within 4 weeks after the first visit to the researcher. Patients were randomised in the operating room by opening an opaque sealed envelope containing the assigned strategy. Patients and researchers were blinded for the allocated method of surgery during the follow-up period of 1 year.

### Interventions

Under general or spinal anaesthesia, the patient was placed in prone position, and the affected disc level was verified fluoroscopically. An equally small midline incision (25–30 mm) was made in both techniques. In case of conventional microdiscectomy, the ipsilateral paravertebral muscles

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were detached from the spinous process and retracted laterally, followed by unilateral transfavicular disc removal. Whenever patients were assigned to tubular discectomy, the skin was retracted laterally, and the sequential dilators were placed at the inferior aspect of the lamina under fluoroscopic control. The herniated disc was removed through a 14–18 mm tubular retractor (METRx, Medtronic) with microscopic magnification. In both procedures, the herniated portion of the disc was removed as much as possible, and aggressive subtotal discectomy was not intended.

Patients were mobilised as soon as possible, and the duration of hospital admission depended on the usual care of the participating hospital. Attempts were made to discharge the patients as soon as possible, and all patients were stimulated to resume daily activities as soon as possible.

### Outcomes

For the current subgroup analyses, the patient's global perceived recovery was used as a dependent variable in a dichotomised form.<sup>5 6</sup> We choose the global perceived recovery as the dependent variable instead of Roland–Morris Disability Questionnaire

(RDQ) scores, since the RDQ may not be the ideal tool to differentiate effectiveness between surgical strategies, and responsiveness has been shown to depend on the used external criteria.<sup>7</sup> 'Complete recovery' and 'almost complete recovery' were coded as a good outcome, while 'minimally recovery,' 'no change,' 'minimally worse,' 'much worse' and 'very much worse' were coded as bad outcomes. These outcomes were assessed at 1, 2, 4, 6, 8, 12, 26, 38 and 52 weeks after randomisation.

Possible prognostic determinants were selected on the basis of classical physiological hypotheses or results from earlier studies. Anamnestic, neurological and radiological variables were predefined in the protocol and their respective values collected before randomisation was performed (box 1). Baseline characteristics were similar in both groups (table 1).

### Data analyses

Survival analyses of time to first assertion of (almost) complete recovery were performed by Cox proportional hazards models. The effect modification of each predictor was tested in a model containing the treatment allocation, the predictor and the interaction between them. If the p value was <0.10, the

## Box 1 Predefined prognostic variables\*

### Demographic variables

- ▶ Age <40 years versus  $\geq$ 40 years
- ▶ Physical demanding job versus non-physically demanding job
- ▶ High education versus low education
- ▶ Male versus female

### Anamnestic and neurological variables

- ▶ Duration of sciatica <16 weeks versus  $\geq$ 16 weeks
- ▶ Predominantly leg pain versus predominantly low back pain
- ▶ Influence of sitting on leg pain versus no influence
- ▶ Body mass index <30 versus  $\geq$ 30†
- ▶ Straight-leg raising test positive versus negative‡
- ▶ Crossed straight-leg raising test positive versus negative‡
- ▶ Slump test positive versus negative§
- ▶ Visual Analogue Scale leg pain <70 mm versus  $\geq$ 70 mm.¶
- ▶ Visual Analogue Scale low back pain <70 mm versus  $\geq$ 70 mm.¶

### Radiological variables

- ▶ Size of disc herniation <1/3 of spinal canal versus  $\geq$ 1/3\*\*
- ▶ Sequestered disc herniation versus contained disc††
- ▶ Median disc herniation versus mediolateral and lateral
- ▶ Lateral recess stenosis versus no stenosis
- ▶ Disc height <7 mm versus  $\geq$ 7 mm‡‡

### Miscellaneous variables

- ▶ Preference for tubular discectomy versus no preference
- ▶ Disc herniation at L5S1 versus L3L4 or L4L5

\*During the design of the study, variables were identified on the physiological assumption to be correlated with the rate of recovery.

†The body mass index is the weight in kilograms divided by the square of the height in metres.

‡Straight-leg raising test (according to Lasegue) was defined positive if the examiner observed a typically dermatomal area of pain reproduction and pelvic muscle resistance during unilateral provocative straight-leg raising below an angle of 60° and crossed positive if the same experience was noted raising the other leg below 90°.

§The Slump test was defined as positive if the examiner observed radicular pain reproduction while the patient is sitting with hip flexion, cervical flexion and simultaneous straight leg raising.

¶The intensity of pain was measured by a horizontal 100 mm visual-analogue scale, with 0 representing no pain and 100 the worst pain ever.

\*\*The size of the herniated disc in relation to the spinal canal diameter was measured at disc level.

††Sequestered disc herniations were defined by a defect in the annulus fibrosus and loose disc fragments in the epidural space, visualised on magnetic resonance imaging.

‡‡Disc height was measured at the central part of the disc.

**Table 1** Baseline characteristics of the patients\*

Characteristic	Tubular discectomy (N=166)	Conventional microdiscectomy (N=159)
Age (years)	41.6±9.8	41.3±11.7
Female gender, no (%)	82 (49)	71 (45)
Body-mass index	26.0±4.4	25.4±4.2
Current smoker, no (%)	65 (39)	68 (43)
Duration of sciatica (weeks)	29.2±47.4	27.8±23.3
Sick leave from work, no (%)	110 (66)	103 (65)
Radicular pain right leg, no (%)	66 (40)	78 (49)
Miction disturbance, no (%)	29 (17)	20 (13)
Sensory disturbance, no (%)	146 (88)	139 (87)
Muscle weakness, no (%)	105 (63)	105 (66)
Asymmetrical deep-tendon reflexes in knees, no (%)	32 (20)	34 (22)
Asymmetrical deep-tendon reflexes in ankles, no (%)	60 (37)	53 (35)
Pain on straight-leg raising test, no (%)	142 (90)	131 (87)
Pain on crossed straight-leg raising test, no (%)	37 (24)	31 (21)
Pain on Slump test, no (%)	127 (83)	118 (84)
Disc herniation level, no (%)		
L3–L4	5 (3)	6 (4)
L4–L5	67 (40)	47 (30)
L5–S1	94 (57)	106 (66)
Roland Disability Questionnaire score†	16.0±4.4	16.3±4.3
Score on Visual Analogue Scale of pain		
Leg	62.6±21.1	61.7±24.0
Low back	40.2±27.0	38.3±27.8
Score on the Visual Analogue Scale of general health‡	45.5±22.0	44.0±22.8
Prolo functional score§	0.8±0.5	0.7±0.5
Prolo economic score§	1.5±1.6	1.3±1.6
SF-36 score¶		
Bodily pain	27.8±18.2	25.2±17.7
Physical functioning	36.7±20.6	34.9±20.7
Sciatica indexes**		
Frequency	16.0±4.4	15.5±4.3
Bothersomeness	14.1±4.8	14.2±5.0
Patient's preference for tubular discectomy, no (%)	59 (36)	59 (37)
Time from intake to surgery, days	12.9±8.8	12.0±8.0

\*Plus–minus values are mean±SD. There were no significant differences between the two groups in any of the baseline characteristics.

†The Roland Disability Questionnaire for Sciatica is a disease-specific disability scale that measures the functional status of patients with leg pain or back pain. Scores range from 0 to 23, with higher scores indicating worse functional status.

‡The perception of general health was measured by a horizontal 100 mm visual-analogue scale, with 0 representing the worst and 100 the best perception of health a patient could imagine.

§The Prolo scale is a four-point qualitative scale completed by the observer. A lower value represents poor functioning and decreased ability to work.

¶The Medical Outcomes Study 36-item Short-Form General Health Survey (SF-36) is a generic health-status questionnaire consisting of 36 questions on physical and social functioning delineating eight domains of quality. The scale ranges from 0 to 100, with higher scores indicating less severe symptoms.

\*\*The Sciatica Frequency and Bothersomeness Index assesses the frequency (from 0 (not at all) to 6 (always)) and bothersomeness (from 0 (not bothersome) to 6 (extreme bothersome)) of back and leg symptoms. The sum of the results of the questions yields indexes ranging from 0 to 24 for frequency and bothersomeness of leg pain, with lower scores indicating less severe symptoms; numbness, tingling, or both in the leg; weakness in the leg or foot; and pain in the back or leg while sitting.

interaction was classified as significant. The predictor showing a significant interaction was subsequently entered in a repeated-measurements analysis for the Roland Disability Questionnaire for Sciatica (RDQ)<sup>5</sup> and Visual Analogue Scale (VAS)<sup>9</sup> for leg pain to test whether the interaction was also visible in such linear regression models. As a variation on the Cox models (which measure time to first assertion of recovery) the predictive effect of each of the predefined variables mentioned above was also analysed in the context of multivariate logistic regression analyses with recovery status at 1 year as the outcome of interest. Whatever the statistical model used, the variable coding for the randomisation arm was always included in the multivariate models as a main effect.

No a priori power analysis was done before the trial was started with respect to the subgroup analyses, using the interaction between treatment and risk factor as the quantification of a 'subgroup effect.' Hence, the actual post hoc power of the trial should be inferred from the 95% CIs: if these are small enough to contain only clinically small effect values, inference can be made

based on either a statistically significant or a statistically insignificant effect modification (interaction); if such an interval contains both clinically relevant and irrelevant effect sizes, the post hoc power for that particular risk factor is then too low. This approach is generically valid and not particular to this trial.

Data collection and quality checks were performed using the ProMISE data management system of the Department of Medical Statistics & BioInformatics of the Leiden University Medical Center.<sup>10</sup> SPSS software (V. 15.0; SPSS, Inc., Chicago, Illinois, USA) was used for all statistical analyses.<sup>11</sup>

## RESULTS

### Surgical treatment and complications

The mean duration of tubular discectomy was 11 min longer than conventional microdiscectomy ( $p<0.001$ ). Complications occurred in 12% of the tubular discectomy group and 8% of the conventional microdiscectomy group ( $p=0.27$ ); dural tear was the most common complication in both groups, but the difference was not statistically significant ( $p=0.18$ ). There was no

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statistically significant difference in postoperative complications, day of mobilisation and mean hospital stay between both groups. During the first year of follow-up, 10% of the tubular discectomy group underwent repeated surgery versus 7% of the conventional microdiscectomy group, mainly because of recurrent disc herniation ( $p=0.33$ ) (table 2).

### Rate of recovery

The unadjusted HR as estimated in a univariate Cox model with recovery as the endpoint was 0.92 (95% CI 0.73 to 1.17) comparing tubular discectomy with conventional microdiscectomy. Therefore, no evidence is present to suggest a difference between tubular discectomy and conventional microdiscectomy (figure 1A).

In bivariate models using the treatment as well as each single predefined prognostic variable at a time, we only found significant interaction effects of treatment strategy with gender and type of disc herniation. Patients with contained disc herniation recovered more slowly when treated by tubular discectomy (HR 0.73; 95% CI 0.49 to 1.09) as compared with patients with sequestered disc herniation, in which no difference in rate of recovery was shown between tubular discectomy and conventional microdiscectomy (HR 1.10; 95% CI 0.82 to 1.46) (figure 1B,C). Females showed a slower rate of recovery (HR 0.75; 95% CI 0.54 to 1.06) when treated by tubular discectomy; in males, no difference in the rate

of recovery between treatment strategies was documented (HR 1.17; 95% CI 0.85 to 1.61) (figure 1D,E).

In the context of the repeated-measurements analyses of the continuous outcome scores, after adjustment for prerandomisation imbalances, no effect modification could be demonstrated for any predictor. No significant interactions of the remaining predefined variables were found (table 3).

### Good outcome at 1 year

Since good outcome at 1 year is a slightly different outcome compared with time until first recovery (because a patient may report non-recovery at a subsequent follow-up after having reported recovery earlier on), for the sake of completeness the probability of good outcome at 1 year was also evaluated, using the appropriate logistic regression approach.

The OR for good outcome of patients treated with tubular discectomy was 0.59 (95% CI 0.35 to 0.99), indicating that the odds for recovery at 1 year were significantly lower than with conventional microdiscectomy (table 4). Variables which significantly modified the relative treatment effect of tubular discectomy versus conventional microdiscectomy, were the level of education and the Slump test. Lower educated patients had an OR of 0.96 (95% CI 0.52 to 1.78) for good outcome when comparing tubular discectomy versus conventional microdiscectomy, while higher educated patients had an OR of 0.18

**Table 2** Operative characteristics of patients

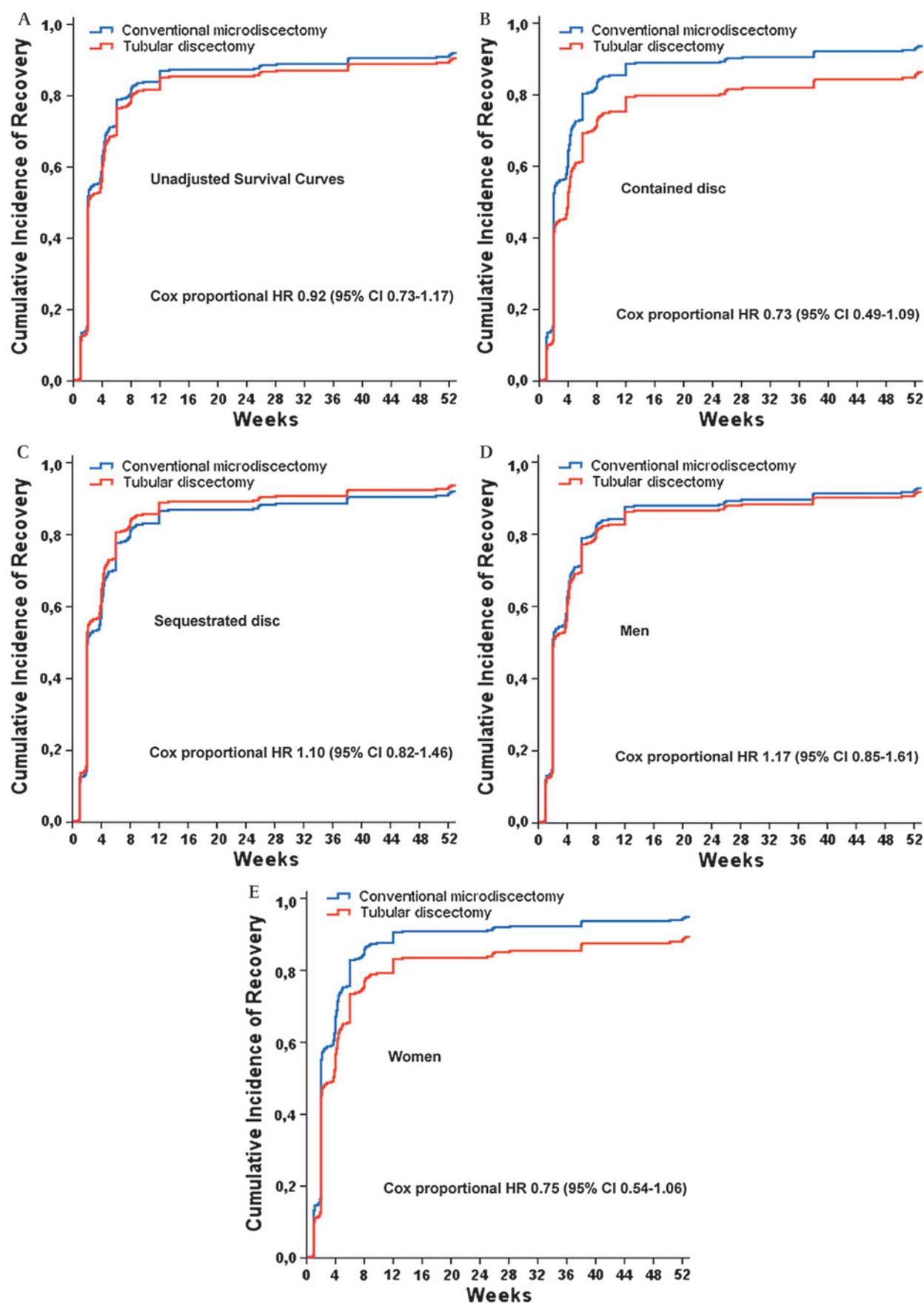
Operative characteristics	Tubular discectomy (N = 166)	Conventional microdiscectomy (N = 159)	p Value
Operation time (min)	47±22	36±16	<0.001
Weight of disc removal (mg)	6104±3555	6877±3573	0.08
Blood loss <50 ml, no (%)	150 (92)	135 (85)	0.08
Intraoperative complications, no (%)*	20 (12)	13 (8)	0.27
Dural tear	14	7	
Nerve root injury	3	3	
Exploration started at wrong level	1	5	
Other†	2	0	
Postoperative complications, no (%)*	19 (11)	14 (9)	0.47
Wound haematoma	2	1	
Wound infection	0	0	
Urine tract infection	0	1	
Cerebrospinal fluid leakage	1	2	
Miction disturbances (catheter required)	3	2	
Deep venous thrombosis leg	0	0	
Increase in sensory deficit	5	6	
Increase in motor deficit	0	3	
Other‡	11	1	
Day of mobilisation, no (%)			
Same day of surgery	76 (46)	80 (51)	0.68
Day 1	88 (53)	73 (47)	
Day 2	2 (1)	2 (1)	
>Day 2	0	2 (1)	
No of days in hospital§	3.3±1.2	3.3±1.1	0.82
Repeated surgery within 1 year, no (%)	17 (10)	11 (7)	0.33
Recurrent disc herniation	12	8	
Stenosis	2	0	
Fibrosis	2	2	
Cerebrospinal fluid leakage	0	1	
Instrumented fusion	1	0	

\*A patient could have had more than one complication.

†Included breakage of forceps and non-sterile suture material.

‡Included allergic reaction, miction disturbances not requiring a catheter, deep venous thrombosis of arm, sensory deficit arm, sensory cerebrovascular accident, fever without focus and psychiatric dysfunction.

§Total amount of days (including the day of admission, which was usually 1 day before surgery).



**Figure 1** Cox proportional hazard analyses. (A) Original unadjusted curves. (B) Stratified analyses for contained disc. (C) Stratified analyses for sequestered disc. (D) Stratified analyses for males. (E) Stratified analyses for females.

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**Table 3** Cox model recovery rates of tubular discectomy (TD) compared with conventional microdiscectomy

Subgroup	Subgroup size (%)	Subgroup effect HR		Treatment effect HR (tubular discectomy vs conventional discectomy)		p Value	
		Main effect adjusted for treatment		Main effect adjusted for subgroup*	Effect within subgroup category		95% CI
All patients	100			0.92		0.73 to 1.17	
Age				0.97		0.77 to 1.23	0.27
≤40 years	44	(1)		1.12		0.79 to 1.58	
>40 years	56	0.87		0.86		0.63 to 1.18	
Gender				0.95		0.75 to 1.20	0.07
Male	53	(1)		1.17		0.85 to 1.61	
Female	47	1.01		0.75		0.54 to 1.06	
Body mass index (kg/m <sup>2</sup> )				0.96		0.76 to 1.21	0.89
≤30	85	(1)		0.97		0.75 to 1.24	
>30	15	0.74		0.92		0.50 to 1.71	
Level of education				0.95		0.75 to 1.20	0.44
Low	67	(1)		1.01		0.76 to 1.35	
High	33	1.08		0.84		0.56 to 1.25	
Physical demanding job				0.95		0.75 to 1.20	0.18
No	46	(1)		1.16		0.83 to 1.64	
Yes	54	1.02		0.80		0.58 to 1.10	
Duration of sciatica				0.95		0.75 to 1.20	0.50
≤16 weeks	38	(1)		1.05		0.72 to 1.54	
>16 weeks	62	0.85		0.89		0.66 to 1.20	
Sciatica provoked by sitting				0.94		0.75 to 1.19	0.93
No	24	(1)		0.92		0.56 to 1.51	
Yes	76	1.23		0.95		0.73 to 1.23	
Proportion back pain to leg pain				0.95		0.75 to 1.20	0.41
Leg pain>back pain	88	(1)		0.92		0.72 to 1.17	
Back pain>leg pain	12	0.93		1.25		0.62 to 2.51	
Straight-leg raising test				0.98		0.77 to 1.23	0.54
Negative	11	(1)		0.79		0.39 to 1.62	
Positive	89	1.31		1.00		0.78 to 1.28	
Crossed straight-leg raising test				0.93		0.73 to 1.18	0.29
Negative	78	(1)		1.00		0.76 to 1.32	
Positive	22	1.16		0.74		0.45 to 1.21	
Slump test				0.98		0.77 to 1.26	0.96
Negative	17	(1)		0.97		0.52 to 1.82	
Positive	83	1.43		0.99		0.76 to 1.29	
Visual Analogue Scale leg pain				0.95		0.76 to 1.20	0.82
≤70 mm	55	(1)		0.98		0.71 to 1.33	
>70 mm	45	1.14		0.93		0.65 to 1.31	
Visual Analogue Scale low-back pain				0.95		0.75 to 1.20	0.76
≤70 mm	84	(1)		0.97		0.75 to 1.24	
>70 mm	16	1.19		0.87		0.49 to 1.59	
Type of disc herniation				0.95		0.76 to 1.20	0.10
Contained	35	(1)		0.73		0.49 to 1.09	
Sequestered	65	1.13		1.10		0.82 to 1.46	

Continued

Table 3 Continued

Subgroup	Subgroup size (%)	Subgroup effect HR		Treatment effect HR (tubular discectomy vs conventional discectomy)		p Value
		Main effect adjusted for treatment	Main effect adjusted for subgroup*	Effect within subgroup category	95% CI	
Size of disc herniation				0.95		
≤1/3 of spinal canal	34	(1)		1.00	0.75 to 1.20	0.79
>1/3 of spinal canal	66	0.99		0.93	0.66 to 1.49	
Location of disc herniation				0.94		
Median	58	(1)		0.91	0.75 to 1.19	0.77
Mediolateral and lateral	42	1.16		0.98	0.67 to 1.24	
Lateral recess stenosis				0.96		
No	84	(1)		1.03	0.76 to 1.21	0.14
Yes	16	0.81		0.63	0.80 to 1.32	
Medial disc height				0.96		
≥7 mm	84	(1)		0.92	0.76 to 1.22	0.34
<7 mm	16	1.27		1.24	0.71 to 1.18	
Level of disc herniation				0.96		
L3–L4 or L4–L5	36	(1)		0.78	0.76 to 1.21	0.21
L5–S1	64	1.05		1.07	0.53 to 1.16	
Patient's preference for TD				0.97		
Some or no preference	64	(1)		0.88	0.76 to 1.22	0.34
Strong preference	36	1.20		1.12	0.66 to 1.19	
Surgeon's preference for TD				0.96		
Some or no preference	74	(1)		0.87	0.76 to 1.21	0.17
Strong preference	26	0.81		1.27	0.67 to 1.15	
					0.79 to 2.02	

Time to complete recovery, measured by dichotomised patients' global perceived recovery, for all predefined variables. Hazard ratios with their 95% CIs show the effect within each subgroup. Values for the interaction between treatment effect and predefined subgroup variables for tubular discectomy versus conventional microdiscectomy are shown.

\*Adjusted for the factor (covariate) mentioned: comparison with the overall hazard ratio assesses possible confounding by the factor studied.

†Tests whether the hazard ratio of tubular discectomy versus conventional microdiscectomy is different between the two subgroups analysed.

(95% CI 0.06 to 0.59). Hence, only among the high education subgroup can a fivefold disadvantage of tubular discectomy be shown, while no treatment effect is present among the lower educated patients.

Patients with a positive Slump test showed a significantly different treatment effect (OR of 0.84; 95% CI 0.46 to 1.53) compared with those for whom a negative Slump test was observed (OR of 0.24; 95% CI 0.06 to 0.92). Hence, a fourfold significant disadvantage for tubular discectomy is shown in patients with a negative Slump test, while no treatment effect is present among those with a positive Slump test. The change in OR (ie, relative effect size) from 0.84 to 0.24 while going from a positive to a negative Slump test has an associated p value of 0.09. No association between gender, type of disc herniation and outcome was found in the logistic regression context.

## DISCUSSION

The present double-blinded randomised trial on patients with herniated disc related sciatica found similar rates of recovery of tubular discectomy and conventional microdiscectomy, although patients treated with tubular discectomy reported a worse outcome at 1 year. Variables which modified the effect of the treatment strategy on the rate of recovery were type of disc herniation and gender, while for a good outcome assessed at 1 year, both the level of education and the Slump test modified the relative treatment effect of tubular discectomy versus conventional microdiscectomy.

The variable type of disc herniation showed a significant interaction effect with the surgical strategy on the rate of recovery. Patients with contained disc herniation recovered more slowly when they underwent tubular discectomy compared with those who underwent conventional microdiscectomy. We documented no difference in rate of recovery between treatment strategy in patients with disc sequestration. Previous studies demonstrated a trend towards superior results of sequesterectomy compared with microdiscectomy, although the rate of recovery was not assessed.<sup>12 13</sup> Based on our results, patients with sequestered discs may decide to undergo either a tubular discectomy or a conventional microdiscectomy, depending on the patients' or surgeons' preferences, but those patients with contained disc herniation may benefit less with tubular discectomy.

Females who underwent tubular discectomy recovered significantly slower than females treated with conventional microdiscectomy. Previous studies showed a less favourable outcome of treatment of sciatica for females, irrespective of the treatment strategy.<sup>14</sup> A sound explanation for interaction of gender and surgical strategy is missing. In the present trial, the majority of females had contained disc herniations in contrast to males who mainly had sequestered disc herniations. However, statistical correction for the type of disc herniation did not support confounding as a possible cause.

Sceptics of minimally invasive procedures claim that the transmuscular tubular approach of the intervertebral disc may be associated with reduced surgical exposure and inadequate

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**Table 4** Bivariate logistic regression analyses of all predefined prognostic variables for the recovery status at 1 year, irrespective of intermediate (fluctuations in) recovery

Subgroup	Subgroup size (%)	Subgroup effect OR		Treatment effect OR (tubular discectomy (TD) vs conventional discectomy)		p Value	
		Main effect adjusted for treatment		Main effect adjusted for subgroup*	Effect within subgroup category		95% CI
All patients	100			0.59		0.35 to 0.99	
Age				0.66		0.38 to 1.12	0.64
≤40 years	44	(1)			0.78	0.32 to 1.89	
>40 years	56	0.55			0.60	0.31 to 1.16	
Gender				0.61		0.36 to 1.04	0.29
Male	53	(1)			0.78	0.39 to 1.57	
Female	47	1.49			0.44	0.19 to 1.01	
Body mass index (kg/m <sup>2</sup> )				0.64		0.37 to 1.08	0.64
≤30	85	(1)			0.67	0.38 to 1.21	
>30	15	0.63			0.49	0.14 to 1.73	
Level of education				0.63		0.37 to 1.07	0.01
Low	67	(1)			0.96	0.52 to 1.78	
High	33	1.61			0.18	0.06 to 0.59	
Physically demanding job				0.63		0.37 to 1.06	0.44
No	46	(1)			0.77	0.37 to 1.63	
Yes	54	1.26			0.51	0.24 to 1.08	
Duration of sciatica				0.61		0.36 to 1.04	0.62
≤16 weeks	38	(1)			0.51	0.21 to 1.26	
>16 weeks	62	0.79			0.68	0.35 to 1.31	
Sciatica provoked by sitting				0.60		0.35 to 1.00	0.14
No	24	(1)			0.30	0.10 to 0.86	
Yes	76	1.19			0.74	0.40 to 1.36	
Proportion back pain to leg pain				0.59		0.35 to 1.00	0.24
Leg pain>back pain	88	(1)			0.52	0.29 to 0.92	
Back pain>leg pain	12	0.70			1.27	0.32 to 5.06	
Straight-leg raising test				0.56		0.33 to 0.96	0.98
Negative	11	(1)			0.57	0.15 to 2.23	
Positive	89	2.17			0.56	0.32 to 1.00	
Crossed straight-leg raising test				0.63		0.37 to 1.08	0.75
Negative	78	(1)			0.60	0.33 to 1.10	
Positive	22	1.24			0.75	0.23 to 2.49	
Slump test				0.67		0.39 to 1.15	0.09
Negative	17	(1)			0.24	0.06 to 0.92	
Positive	83	1.57			0.84	0.46 to 1.53	
Visual Analogue Scale leg pain				0.63		0.37 to 1.07	0.54
≤70 mm	55	(1)			0.55	0.27 to 1.10	
>70 mm	45	0.65			0.77	0.34 to 1.75	
Visual Analogue Scale low back pain				0.59		0.34 to 1.01	0.68
≤70 mm	84	(1)			0.56	0.31 to 1.02	
>70 mm	16	0.43			0.75	0.22 to 2.60	
Type of disc herniation				0.62		0.37 to 1.05	0.15
Contained	35	(1)			0.36	0.15 to 0.90	
Sequestered	65	1.27			0.82	0.43 to 1.58	

Continued

Table 4 Continued

Subgroup	Subgroup size (%)	Subgroup effect OR Main effect adjusted for treatment	Treatment effect OR (tubular discectomy (TD) vs conventional discectomy)			p Value Interaction†
			Main effect adjusted for subgroup*	Effect within subgroup category	95% CI	
Size of disc herniation			0.62			
≤1/3 of spinal canal	34	(1)		0.54	0.36 to 1.05	0.71
>1/3 of spinal canal	66	1.52		0.67	0.23 to 1.30	
Location of disc herniation			0.64		0.38 to 1.09	0.71
Median	58	(1)		0.70	0.35 to 1.40	
Mediolateral and lateral	42	0.90		0.57	0.25 to 1.30	
Lateral recess stenosis			0.62		0.37 to 1.06	0.46
No	84	(1)		0.68	0.38 to 1.23	
Yes	16	0.50		0.41	0.12 to 1.42	
Medial disc height			0.62		0.36 to 1.05	0.97
≥7 mm	84	(1)		0.61	0.34 to 1.11	
<7 mm	16	0.56		0.63	0.19 to 2.16	
Level of disc herniation			0.63		0.37 to 1.06	0.48
L3–L4 or L4–L5	36	(1)		0.80	0.34 to 1.88	
L5–S1	64	1.04		0.54	0.28 to 1.06	
Patient's preference for TD			0.59		0.35 to 1.00	0.44
Some or no preference	64	(1)		0.51	0.26 to 0.98	
Strong preference	36	1.21		0.78	0.32 to 1.88	
Surgeon's preference for TD			0.59		0.35 to 0.99	0.42
Some or no preference	74	(1)		0.52	0.28 to 0.95	
Strong preference	26	1.07		0.85	0.30 to 2.37	

Good outcome is defined as 'complete recovery' or 'almost complete recovery' according to the Likert scale. Odds ratios and their 95% CIs show the effect within each subgroup. Values for the interaction between treatment effect and predefined subgroup variables for TD versus conventional microdiscectomy are shown.

\*Adjusted for the factor (covariate) mentioned: comparison with the overall odds ratio assesses possible confounding by the factor studied.

†Tests whether the odds ratio of tubular discectomy versus conventional microdiscectomy is different between the two subgroups analysed.

opening of the lateral recess. Indeed, the present trial showed a trend in which patients with concomitant lateral recess stenosis treated with tubular discectomy reported slower rates of recovery than those operated by conventional surgery (HR 0.63; 95% CI 0.34 to 1.15). This difference did not reach statistical significance, which could be the result of the small number of patients with lumbar disc herniation and concomitant lateral recess stenosis.

The finding that body mass index (BMI) did not affect the results of the allocated treatment was somewhat surprising. The minimally invasive approach of tubular discectomy yields direct access to the affected disc level. Obese patients (defined as BMI >30) were expected to benefit from this direct transmuscular approach, since extensive tissue dissection during conventional procedures is prevented. However, in the present subgroup analyses, we found no interaction between the variable BMI and treatment on outcome. This might be due to the relative small proportion of patients with morbid obesity. In general, patients treated with tubular discectomy reported more low-back pain during the first year after surgery than those treated with conventional microdiscectomy.<sup>3</sup> Whether the muscle splitting technique of tubular discectomy is less invasive than subperiosteally detaching the muscles from the spinous process can therefore be disputed.

The level of education and the Slump test were the only significant variables that modified the relative treatment effect of tubular discectomy versus conventional microdiscectomy at 1 year. Higher educated patients treated with tubular discectomy

had a fivefold lower odds for good outcome as compared with those treated with conventional microdiscectomy, while the odds for good outcome in lower educated patients were almost equal in both treatment strategies. These findings are in contrast with previous studies which found evidence that a lower level of education was predictive for unfavourable outcome.<sup>15</sup> The rationale is lacking and may be caused by multiple testing. The Slump test, on the other hand, has been found to be more sensitive as a physical tool in patients with lumbar disc herniations than the straight leg raising test, which may suggest increased traction of nerve roots.<sup>16</sup> Possibly, the modifying treatment effect of the Slump test on tubular versus conventional discectomy can be explained by a confounding bias of the type of disc herniation.

The present extensive subgroup analyses could identify only few variables related to a greater benefit of one of the allocated treatments. However, these results should be interpreted carefully and could be caused by multiple testing bias or confounding. Effect modification was tested in a model containing survival analysis of the time to recovery, whereby recovery was evaluated on predefined follow-up moments and not the actual time to recovery. Whenever significant, the predictor was entered in a repeated-measurement analysis for RDQ which might not be the appropriate tool with which to differentiate effectiveness between surgical approaches. Therefore, the only potential message is the hint that tubular discectomy might be suitable for sequestered discs and might not be suitable for contained discs.

**CONCLUSIONS**

The expected overall superiority of tubular discectomy in the treatment of lumbar disc herniation was not supported by our trial. Subgroup analyses identified few variables that were associated with more or less benefit from one of the allocated treatments, but these outcomes should be interpreted carefully. The present results may have implications on the acceptance of minimally invasive surgery among surgeons and patients, and consequently may change daily practice with regard to patients with contained disc herniation.

**Acknowledgements** We would like to thank the funders of the Dutch Health Insurance Board, patients who participated, recruiting physicians and research nurses of the SIPS team for their work in making this trial possible.

**Funding** Funded by the Dutch Health Insurance Board (CVZ).

**Competing interests** None.

**Ethics approval** Ethics approval was provided by the Leiden University Medical Center.

**Provenance and peer review** Not commissioned; externally peer reviewed.

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